# P30057.A02

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yoshito SHIMIZU et al. Group Art Unit: Not Yet Assigned

Appl. No. : 10/581,451 Examiner : Not Yet Assigned

(National Stage of PCT/JP2004/017784)

Filed : June 1, 2006 Confirmation No.: Not Yet Assigned

For : RECEPTION APPARATUS AND RECEPTION METHOD

# INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window, Mail Stop AMENDMENT
Randolph Building
401 Dulany Street
Alexandria, VA 22314

# Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, Applicants hereby bring to the attention of the Examiner the following information.

The following documents were cited in an International Preliminary Examination Report (PCT/IPEA/409) with respect to International Patent Application No.

PCT/JP2004/017784, of which the present application is the U.S. National Stage Application. A copy of the International Preliminary Examination Report (in Japanese) has been filed concurrently with the present application and should thus have already been brought to the attention of the Examiner.

(1) Japanese Laid-Open Patent Publication No. 2003-298451, together with an English language Abstract of the same.

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(2) Japanese Laid-Open Patent Publication No. 2001-211098, together with an English language Abstract of the same, and patent family members U.S. Patent No. 6,826,388 to TANAKA et al., which issued on November 30, 2004, and European Patent Publication No. EP 1 102 413. Applicants note that the Japanese document is cited on page 9 of the specification of the above-captioned application.

The relevance of the documents cited in the International Preliminary

Examination Report, as ascertained with respect to the international claims by the

International Examiner, are set forth in the International Preliminary Examination

Report.

Applicants further submit the following documents.

- (3) Japanese Laid-Open Patent Publication No. 2001-245007, together with an English language Abstract of the same. Applicants note that this document is cited on page 9 of the specification of the above-captioned application.
- (4) Japanese Laid-Open Patent Publication No. 2002-217769, together with an English language Abstract of the same. Applicants note that this document is cited on page 9 of the specification of the above-captioned application.
- (5) Japanese Laid-Open Patent Publication No. 2000-092143, together with an English language Abstract of the same. Applicants note that this document is cited on page 9 of the specification of the above-captioned application.

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Further to 37 C.F.R. §1.98 (a)(2)(ii), a copy of the U.S. patent is not enclosed herewith. However, if a copy is needed, the Examiner is respectfully requested to contact the undersigned.

Applicants respectfully request that the Examiner consider the above material and cite the same. Copies of the above-noted foreign documents, including the noted English language Abstracts, are attached hereto, and all of the documents are listed on an attached PTO-1449 Form. The Examiner is requested to initial the appropriate spaces on the attached Form and to return a copy of the completed Form to Applicants with the next official communication in the present application.

Applicants note that an Office Action on the merits has not issued in the present application, and thus, no fee is believed necessary to ensure consideration of the submitted material.

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted, Yoshito SHIMIZU et al.

William Pieprz Reg. No. 33,630

Bruce H. Bernstein Reg. No. 29,027

September 1, 2006 GREENBLUM & BERNSTEIN, P.L.C. 1950 Roland Clarke Place Reston, Virginia 20191 (703) 716-1191 FORM PTO-1449

U.S. Department of Commerce Patent and Trademark Office Atty. Docket No. P30057 Application No. 10/581,451

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) Applicant Yoshito SHIMIZU et al.

Filing Date June 1, 2006 Group Not Yet Assigned

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